

3739

RPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Please type a plus sign (+) inside this box → ☐

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

09/982,482

Filing Date

October 18, 2001

First Named Inventor

Truckai. C.

Group Art Unit

3739

Examiner Name

Shay, D.M.

Attorney Docket Number

021447-000400US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

- 1) Return receipt postcard
- 2) PTOSB/08A and PTO/SB/08B Forms
- 3) Ten (10) references

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 20-1430.

Total number of pages does not include cited references.

RECEIVED
MAR 05 2004

TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

Robert F. Kramer

Reg No. 51,242

Signature

Date

February 27, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

February 27, 2004

Typed or printed name

Christopher R. Fitting

Signature

Date

February 27, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

60151292 v1



PATENT

Attorney Docket No.: 021447-000400US
Client Reference No.: CTX-005

#102

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Csaba Truckai et al.

Application No.: 09/982,482

Filed: October 18, 2001

For: ELECTROSURGICAL WORKING END
FOR CONTROLLED ENERGY DELIVERY

Examiner: SHAY, DAVID M.

T.C./Art Unit: 3739

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER
37 CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
MAR 05 2004
TECHNOLOGY CENTER R3700

Sir:

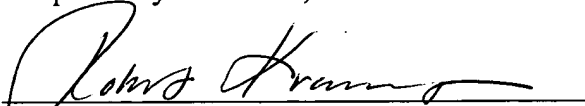
The references cited on the attached PTO/SB/08A form are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


Robert F. Kramer
Reg. No. 51,242

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 650-326-2400 // Fax: 650-326-2422
RFK:crf

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/982,482
Filing Date	October 18, 2001
First Named Inventor	Truckai, Csaba
Art Unit	3739
Examiner Name	SHAY, DAVID M.
Attorney Docket Number	021447-000400US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-6,632,193	10-14-2003	DAVISON ET AL.	
	AB	US-6,575,968	06-10-2003	EGGERS ET AL.	
	AC	US-6,554,829	04-29-2003	SCHULZE ET AL.	
	AD	US-6,527,767	03-04-2003	WANG ET AL.	
	AE	US-6,296,640	10-02-2001	WAMPLER ET AL.	
	AF	US-6,270,497	08-07-2001	SEKINO ET AL.	
	AG	US-6,074,389	06-13-2000	LEVINE ET AL.	
	AH	US-6,019,758	02-01-2000	SLATER	
	AI	US-5,674,220	10-07-1997	FOX ET AL.	
	AJ	US-5,269,780	12-14-1993	ROOS	

RECEIVED
MAR 05 2004
TECHNOLOGY CENTER R3700

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.
60149364 v1